



Christian Koller

Attorney-at-Law, LL.M.

Professional Experience

2021

2015 - 2020

2001 - 2015

1998 - 2000

1997 - 1998

Owner Koller Law Ltd

Partner with Blum & Grob Attorneys, Head Financial Services Team / Co-Head M&A Team

Associate and partner with Zurich based law firms

Research assistant University of Zurich Law School

Law clerk and secretary with the District Court of Zurich

Competences

Financial services and financial market regulation, corporate and contracts law, mergers & acquisitions, private equity, venture capital and financing

Education

2000 - 2001

1999

1990 - 1996

Master of Laws (LL.M.), New York University School of Law

Admission to the bar of the Canton of Zürich

Master of Laws University of Zurich Law School

Admissions

All Swiss courts

Memberships

ZAV (Zurich Bar Association)

SAV (Swiss Bar Association)

SECA Swiss Private Equity & Corporate Finance Association -

Member of the Legal & Tax Chapter, 2011 – 2021

Working Languages

German and English

Publications

Ein Jahr FIDLEG und FIDLEG, Recht relevant (für Compliance Officers) 1/2021, p. 8 ff.

Chambers Global Practice Guide 2020, Investment Funds, Trends and Developments in Switzerland

Chambers Global Practice Guide 2019, Investment Funds, Trends and Developments in Switzerland

Revision of the Swiss Collective Investment Schemes Act - Consequences for Managers of Foreign Investment Funds, in: Swiss Capital Markets Law 2013_11

Zu viel ist ungesund - Regulierung unabhängiger Vermögensverwalter, in ROI (Finanz und Wirtschaft) No. 2 of June 20, 2012, p. 25 f.

Streitpunkt Retrozessionen - Fürsorgliches Bundesgericht behandelt institutionelle Anleger wie Klein-kunden, in: NZZ of November 28, 2011, p. 29.

Revision of the Swiss Collective Investment Schemes Act - Initiation of the Consultation Procedure, in: Swiss Capital Markets Law 2011_33

Regulation of Swiss Independent Asset Managers at a Turning Point, in: Banking Law News (News-letter of the International Bar Association Legal Practice Division), September 2011, p. 40 ff.

SPAC - Offene Fragen bei der Implementierung eines neuen Investmentvehikels, in: Finanz & Wirtschaft of November 22, 2008.

Selection of recent lectures and participation in panel discussions

(We will be happy to provide you with the presentation documents upon request)

Panel discussion of Friends of Funds, Zurich, on May 26, 2020, on the topic of "Regulatory changes in the fund industry"

Lecturing on investment compliance under FinSA and FinIA at the continuing education courses (MAS) "Investment Controlling" of the Institute for Banking and Finance of the University of Zurich, March 2020 and 2019

"FinSA and FinIA: Final Regulations", presentation at the Vontobel EAM Forum on December 18, 2019.

"Material impacts of FinSA and FinIA on the collective investment schemes law / Regulatory developments ICOs", presentation at the SECA - Private Equity & Corporate Finance Conference on July 4, 2018

"FinSA ante portas - an overview", presentation at the LGT EAM Regulatory Update on June 27, 2018

Panel discussion of Friends of Funds, Zurich, on May 15, 2018 on "How will FinSA and FinIA change the good old CISA?"

"Regulatory framework for asset managers of collective investment schemes", lecturer activity at the courses "Swiss Fund & Asset Management Officer" of the Fund Academy 2016-2020

"Crowdfunding - Legal Framework", lecture at the SHIF - Swiss Hospitality Investment Forum 2017 on November 22, 2017.

"FinSA vs. MiFID II: Comparison of selected topics regarding investment advice and asset management", presentation at the Vontobel EAM Forum on October 23, 2017