



## Vendor Disclosure Form

Companies and individuals (each, a “Vendor”) that sell, lease, license or otherwise provide products or services to Liberty Global, plc. or any of its subsidiaries (collectively, “Liberty Global”) must read and comply with the principles set out in Liberty Global’s Code of Business Conduct and Liberty Global’s Anti-Corruption Policy, an electronic copy of both of which is attached hereto, and a hard copy of which has been delivered to you along with this Vendor Disclosure Form. All Vendors must ensure that their directors, officers, employees, consultants and other representatives (“Vendor Representatives”) comply with the Code of Business Conduct and the Anti-Corruption Policy and/or demonstrate, through provision of a copy of the relevant policy documentation, that they have implemented and will enforce policies which are materially similar to the policies of Liberty Global, on all matters related to Vendor’s business and other dealings with Liberty Global.

Among the types of conduct prohibited by the Code of Business Conduct are undisclosed Vendor conflicts of interest. Such Vendor conflicts of interest must be disclosed by an authorized officer of the Vendor on Schedule 1 to this Disclosure Form. A Vendor conflict of interest is deemed to exist any time there is a Significant Relationship between a Vendor or Vendor Representative and any Liberty Global Related Person. For this purpose, the term “Significant Relationship” means any direct or indirect financial, social or family relationship, and the term “Liberty Global Related Person” means any Liberty Global Representative or any family member or friend of an Liberty Global Representative. For this purpose Vendor also includes its direct and or indirect shareholders and/or partners. As part of this certification Vendor shall disclose in Schedule 1 the ownership structure of its business including the ownership structure of its ultimate parent company or owner(s) “Ownership Structure”.

Where the existence of a Significant Relationship is identified, Liberty Global and Vendor shall take the necessary measures to ensure that such Significant Relationship does not at any stage materially influence the decision of Liberty Global to purchase through Vendor.



## Vendor Certification

I hereby certify on behalf of

**Company Name:** \_\_\_\_\_  
herein called [Vendor] as follows:

1. I am  
**Name of Position:** \_\_\_\_\_  
of [Vendor] and am duly authorized to give this certification;
2. I have carefully reviewed and understand this Disclosure Form and the Liberty Global Code of Conduct and the Liberty Global Anti-Corruption Policy;
3. [Vendor] will ensure that all of its directors, officers, employees, consultants and other representatives (“[Vendor] Representatives”) who interact with Liberty Global will be apprised of, and comply with, the terms of the Code of Conduct and the Anti-Corruption Policy and/or policies materially similar thereto which are implemented and enforced by Vendor;
4. To my knowledge and belief, following reasonable investigation, Schedule 1 to this Vendor Disclosure Form (attached) lists (i) all Significant Relationships between (a) [Vendor] or any [Vendor] Representative (including [Vendor’s] equity holders, partners, subsidiaries and affiliates), and (b) any Liberty Global Related Person, and (ii) the Ownership Structure. I will update Schedule 1 in the event of changes in the Significant Relationships and/or Ownership Structure; and
5. I understand that failure (i) to comply with the principles enshrined in either the Liberty Global Code of Conduct or the Liberty Global Anti-Corruption Policy, and/or (ii) to comply with the disclosure obligations, may lead to exclusion of Vendor to do business with Liberty Global and may lead to damages claims and reporting by Liberty Global to the relevant authorities.

Signed by [Vendor],

**Place and Date:** \_\_\_\_\_

**Printed Name:** \_\_\_\_\_

**Printed Title:** \_\_\_\_\_

**Signature:** \_\_\_\_\_



## Schedule 1

### I. Vendor Significant Relationships

### II. Ownership structure Vendor

## Appendices

Liberty Global, plc. Code of Business Conduct



LGI Code of Business  
Conduct

<https://www.upc.ch/de/business/landingpage/lieferanten/>

Liberty Global, plc. Anti-Corruption Policy



AntiCorruptionPolicy  
english.pdf

<https://www.upc.ch/de/business/landingpage/lieferanten/>