



RegX: Achieving Regulatory Excellence

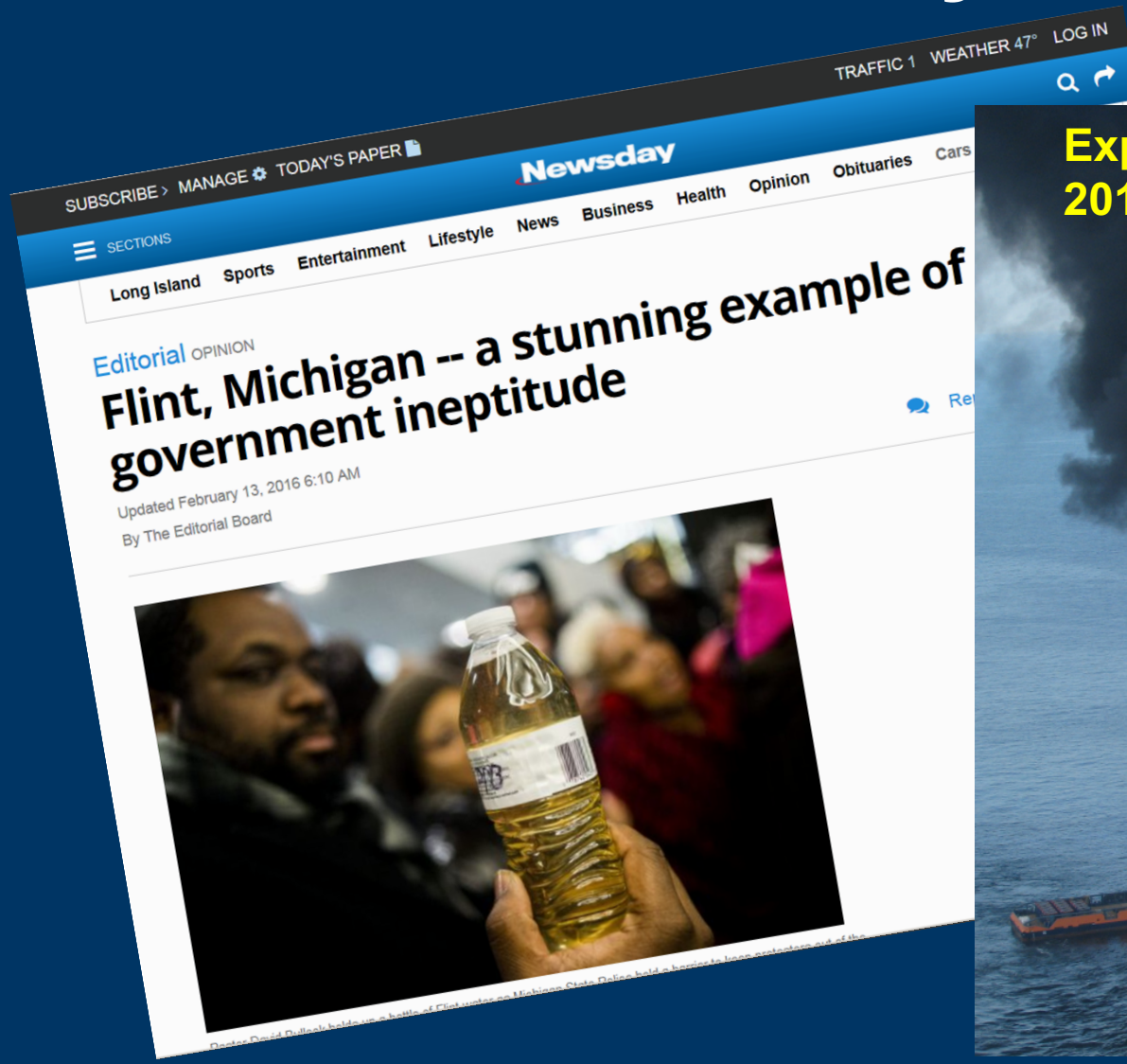
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The Visibility of Regulatory Failure



Explosion of Deepwater Horizon, April 2010



Photo source: US Coast Guard

**What Does
Regulatory Success
Look Like?**

Regulatory Quality Matters



Nepal (2015)

- 9,000 deaths, 20,000 injuries
- “Major” earthquake (7.8)
- Buildings built prior to modern building codes



Mexico (2017)

- 361 deaths, 6,000 injuries
- “Major” earthquake (7.1)
- Modern building codes in place but inconsistent enforcement



Alaska (U.S.) (2018)

- 0 deaths, 0 injuries
- “Major” earthquake (7.1)
- Modern building codes with robust enforcement

What Makes for an *Excellent* Regulator?



Photo sources: U.S. Nuclear Regulatory Commission

Capabilities
Co-ordination Ethical Timely
Effective Delivery
Efficiency Accountability
Quality
Transparency
Trade
Protective Internationally Proportional
Consulting Strategy
Competition Expertise
Consistency

Source: Coglianesse & Scheffler (2016)

What Makes for an *Excellent* Cellist?



https://commons.wikimedia.org/wiki/File:Yo-Yo_Ma_-_World_Economic_Forum_Annual_Meeting_Davos_2008.jpg

RegX: Atoms of Excellence

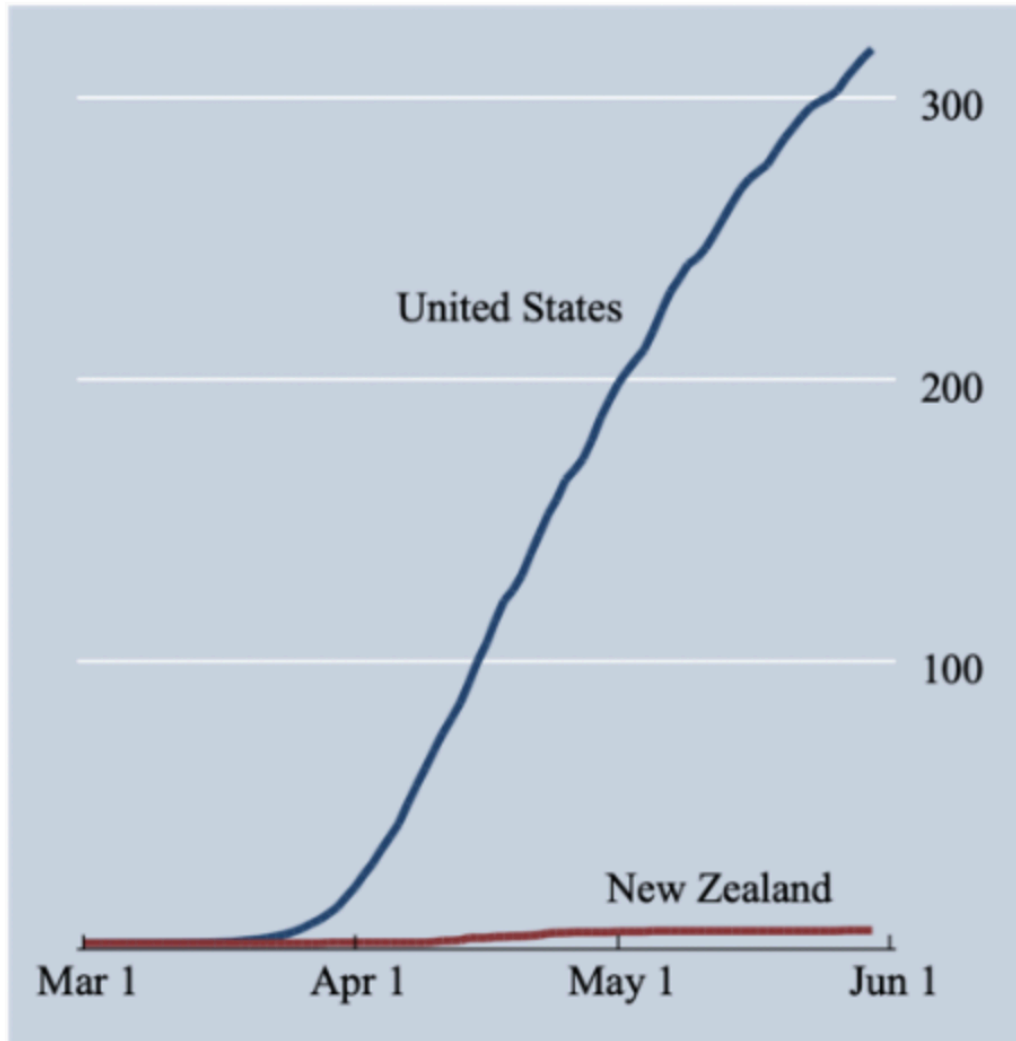


Stellar Competence

Key Tenets

- Analytic capability
- Instrumental capacity
- High performance

Cumulative Deaths from COVID-19 (per million population)



<https://www.thereview.org/2020/06/09/parker-lessons-new-zealand-covid-19-success/>

Source: Johns Hopkins University, Center for Systems Science and Engineering



<https://www.axios.com/new-zealand-flattens-coronavirus-curve-after-2-weeks-of-lockdown-b216bb36-6d38-4b20-b8a6-53e14109d88e.html>

Utmost Integrity

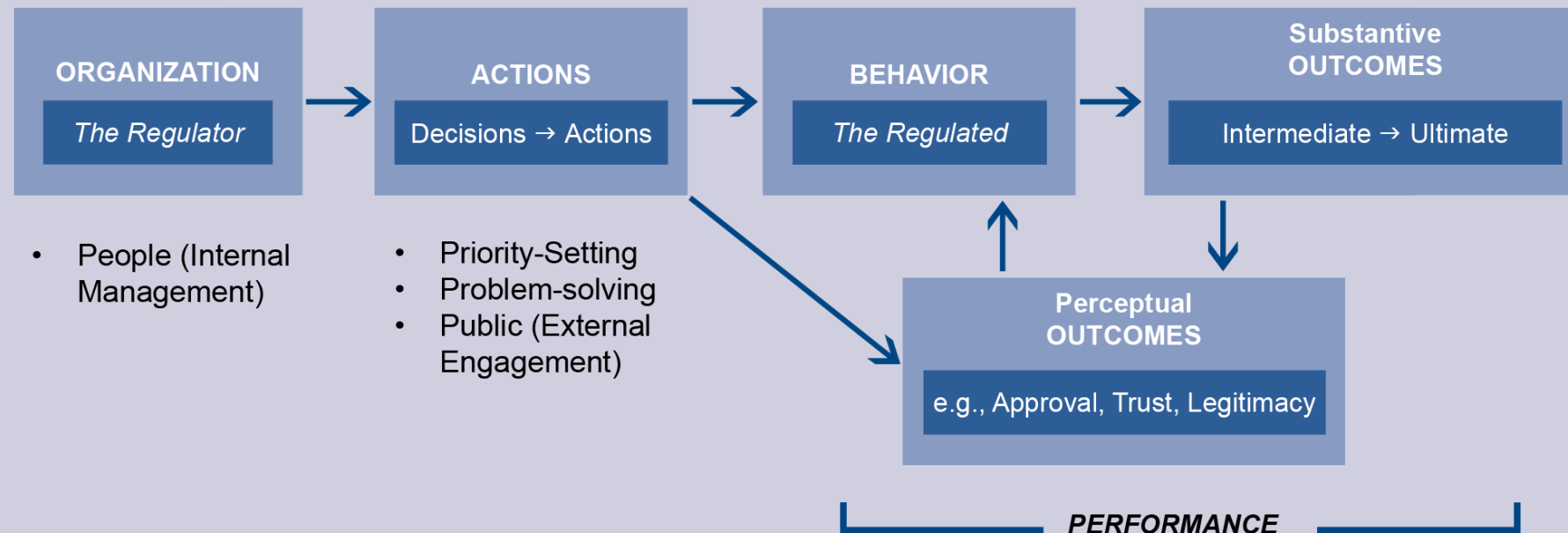
Key Tenets

- Fidelity to law
- Respect for democracy
- Commitment to public interest

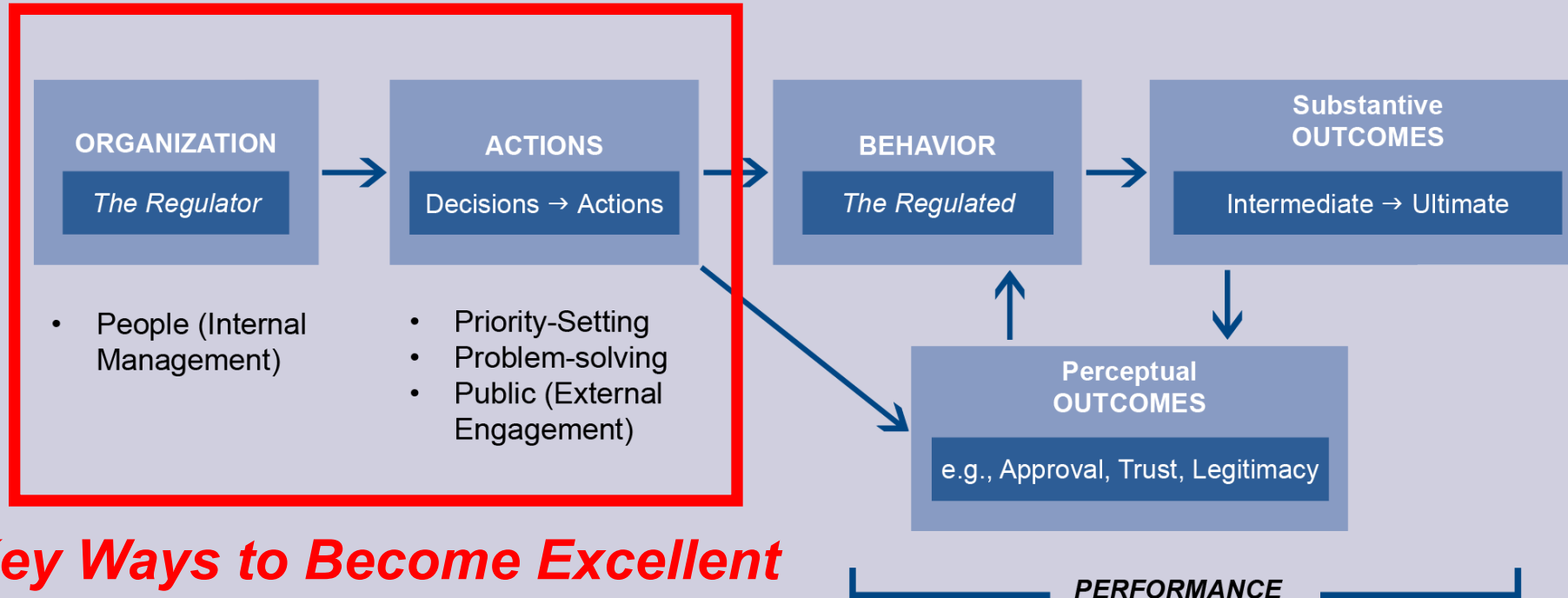
E.P.A. Chief Scott Pruitt Resigns Under a Cloud of Ethics Scandals



Becoming an Excellent Regulator: A Model of Regulatory Performance



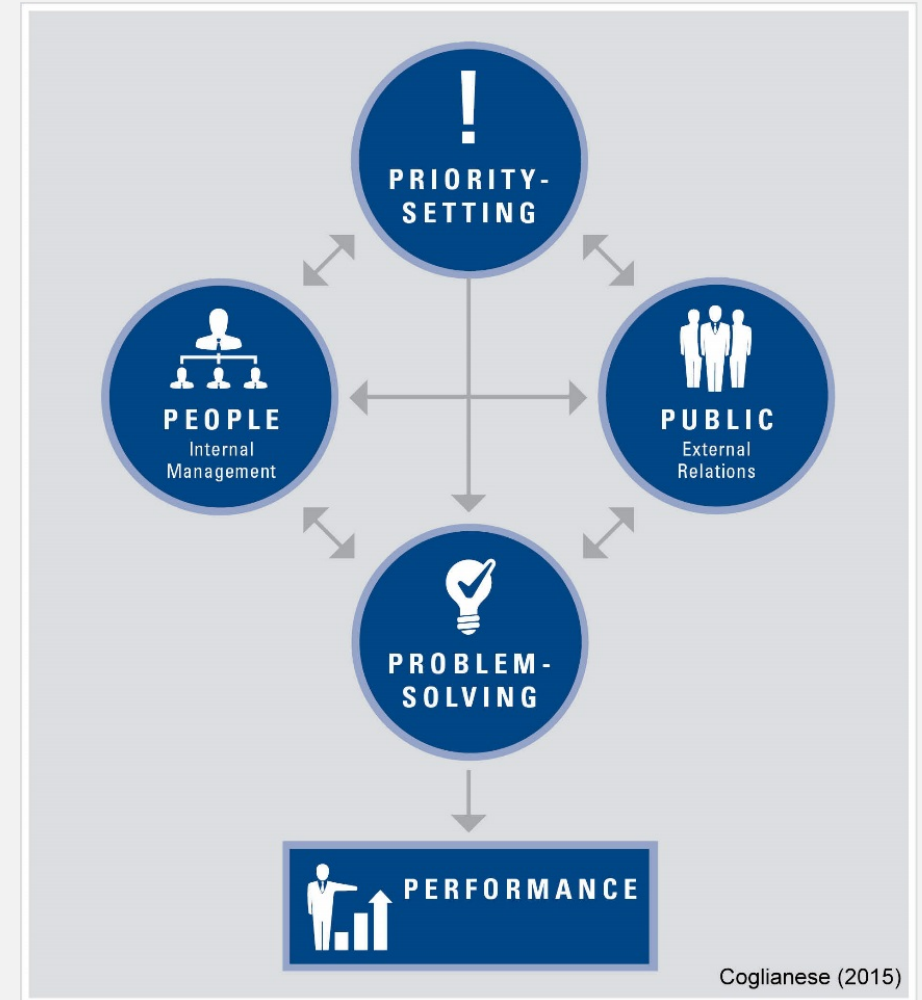
Becoming an Excellent Regulator: A Model of Regulatory Performance



Key Ways to Become Excellent

Keys to Achieving Regulatory Excellence

- **People (Internal Management)**
 - Mission, resources, human capital, autonomy, culture
- **Priority-setting**
 - Decision-making (risk- & evidence-based)
- **Problem-solving**
 - Rule-making, rule application, incident response, evaluation
- **Public (External Engagement)**
 - Empathy, outreach, responsiveness



Excellence Infusion

	Organization				Actions					Performance				
	Mission	Resources	Human capital	Autonomy	Culture	Decision-making	Public Engagement	Rule-making	Rule-application	Incident response	Evaluation	Industry Behavior	Perpetual Outcomes	Substantive Outcomes
Atoms/Tenets														
<i>Utmost Integrity</i>														
Fidelity to law														
Respect for democracy														
Commitment to Public Interest														
<i>Empathetic Engagement</i>														
Even-handedness														
Listening														
Responsiveness														
<i>Stellar Competence</i>														
Analytical capability														
Instrumental capacity														
High performance														

Regulatory Model



Most important of all..... regulatory excellence is ‘people excellence’

“Only if the people working in a regulatory organization are committed to doing their utmost to deliver public value, and to learning and to improving their ability to deliver value in an environment that requires respectful engagement with others, can a regulator expect to achieve true excellence.”

Coglianesse (2015)





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www.PennReg.org
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