



Augment Risk Statement on Modern Slavery

February 2025

AUGMENT

This statement is made pursuant to section 54 of the Modern Slavery Act 2015 and describes Augment's commitment to prevent modern slavery and human trafficking. It applies to Augment Risk Holdings and its subsidiaries (collectively referred to herein as "Augment") and to all persons who work for or on behalf of Augment in respect of its operations.

Modern Slavery Act 2015

Augment Risk Holdings, LLC and its subsidiaries (collectively referred to herein as “Augment Risk” or the “Group”) is engaged in reinsurance broking, capital solutions and related risk management services. This statement, reviewed and updated as at February 2025, is made pursuant to Section 54 of The Modern Slavery Act of 2015 and sets out the steps that Augment Risk has taken and continues to take to ensure that modern slavery or human trafficking is not taking place within our business or supply chain.

Modern slavery encompasses slavery, servitude, human trafficking and forced labour. Augment Risk has a zero-tolerance approach to any form of modern slavery. We are committed to acting ethically and with integrity and transparency in all business dealings and to putting effective systems and controls in place to safeguard against any form of modern slavery taking place within the business or our supply chain.

Augment Risk takes steps to verify, evaluate and address risks of slavery and human trafficking in our supply chain. Our client onboarding and supplier selection process involves an assessment of the companies involved.

We also operate a number of internal policies to ensure that we are conducting business in an ethical and transparent manner. These include:

- **Fit & Proper policy.** We operate a robust Fit & Proper policy as well as recruitment screening procedures, including conducting eligibility to work in the UK checks for all employees to safeguard against human trafficking or individuals being forced to work against their will and DBS checks on a number of employees in customer facing roles.
- **Whistleblowing policy.** We operate a whistleblowing policy so that all employees know that they can raise concerns about how colleagues are being treated, or practices within our business or supply chain, without fear of reprisals. Augment Risk’s whistleblowing procedure utilizes an Ethics & Compliance Line which makes reporting easy and confidential.
- **Outsourcing policy.** The Outsourcing policy requires proper due diligence around the third parties with whom we do business in an effort to ensure we only transact with reputable and trustworthy organisations.
- **Financial Crime & Sanctions policy.** We conduct proper screenings of third parties pursuant to our Financial Crime & Sanctions policy, which helps mitigate the risk transacting with any party sanctioned for modern slavery or human trafficking.

During the 2024 financial year all employees undertook a training module to ensure they are aware of, and comply with, the regulations. This training will be repeated at least annually and is a mandatory requirement for all employees.

Augment Risk recognizes that tackling modern slavery requires an ongoing commitment and will continue to undertake due diligence in our supply chain and review and improve procedures as necessary to help identify and prevent the risks of modern slavery or human trafficking.



Andrew Matson

On behalf of the boards of:

Augment Risk Holdings Limited

Augment Risk UK Trading Limited

Augment Risk Limited