Vega Infrastructure Changes

We have been making important changes to how we manage database upgrades for Acumen. By moving all SQL Procedures, Views, Triggers, Functions, and Wizard templates into Git (cloud-based solution for development tracking and configuration management), we have taken advantage of version control to track changes effectively. Transitioning from AcuUpdate to Grate is a significant step in simplifying the upgrade process.

Grate offers useful features for managing database upgrades, such as the UP folder for tracking schema and data changes. Grate supports PowerShell scripts for running upgrade scripts, making it easier to manage database updates. Additionally, the ability to record actions and errors in a dedicated schema within Acumen databases adds a layer of transparency to the upgrade process.

Grate will need to be installed on a server with access to the Acumen databases to facilitate smooth upgrades. If you need more information on Grate, you can visit the provided link:

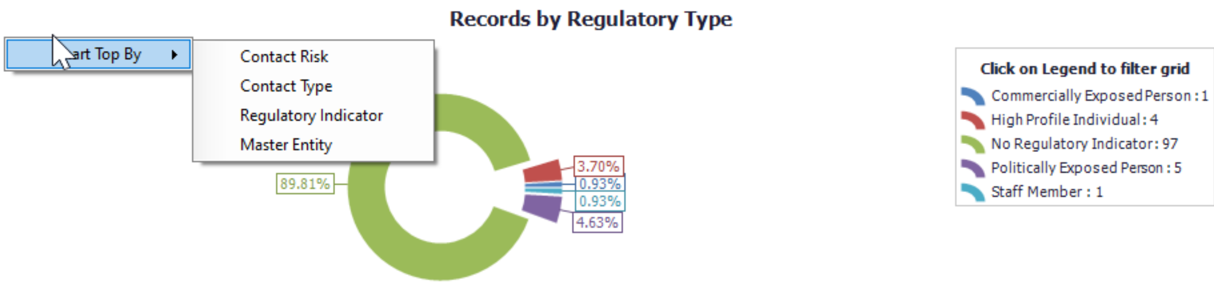
https://erikbra.github.io/grate/

Contact Due Diligence

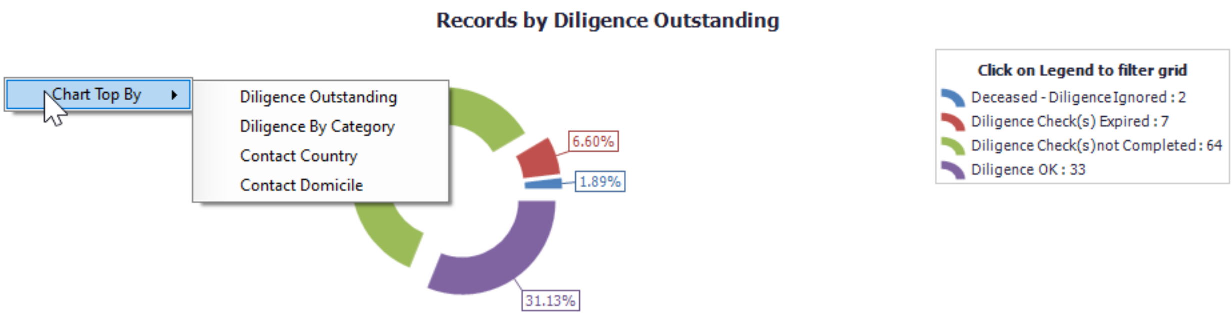
Available to All Sites in the next Update

The Contact Diligence Dashboard has been refreshed with new features and data added. The previous screen provided bar charts have now been replaced with the more familiar donut charts.

Additional filtering options have been added to the charts which include options such as Contact Risk, Contact Type, Regulatory Indicators and Master Entity.



The Diligence Outstanding chart, has additional options too, including Diligence by Contact Country, diligence category, contact domicile and diligence outstanding.



The grid has also been substantially extended with new columns added for more granular reporting.

These include:

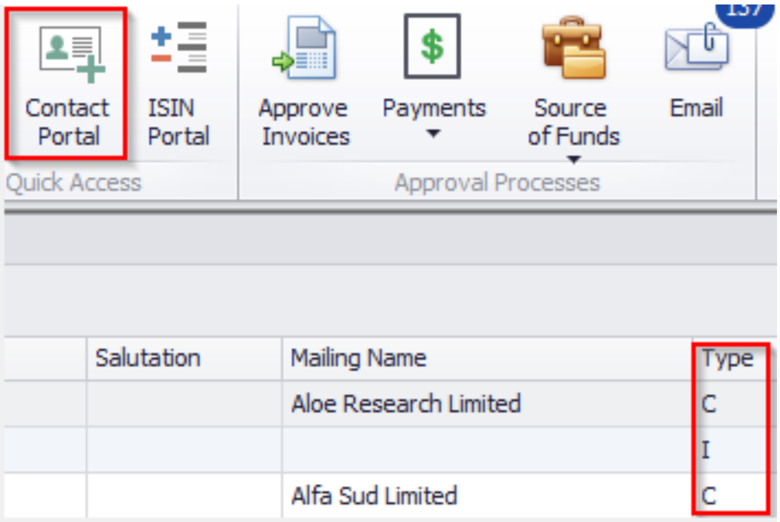
- **Regulatory Status, PEP, HPI, Staff Member, CEP which are separated for easy analysis**
- **Contacts that have High Risk Source of Wealth**
- **Last Screened (link to new screen)**
- **Live Contexts (Number of live links this contact has, as per "Contact Used Where")**
- **Dil. Reg. Contexts (Number of live links where diligence is required to be obtained, as per "Contact Used Where")**

Sites utilising the Payments or Source of Funds module also get additional columns to highlight if the contact is tagged within these modules.

Each column has tooltips to help the user. Fast track right click options take you directly to "Open Diligence" or "Show Contact Context" to reduce mouse clicking.

Contact Portal

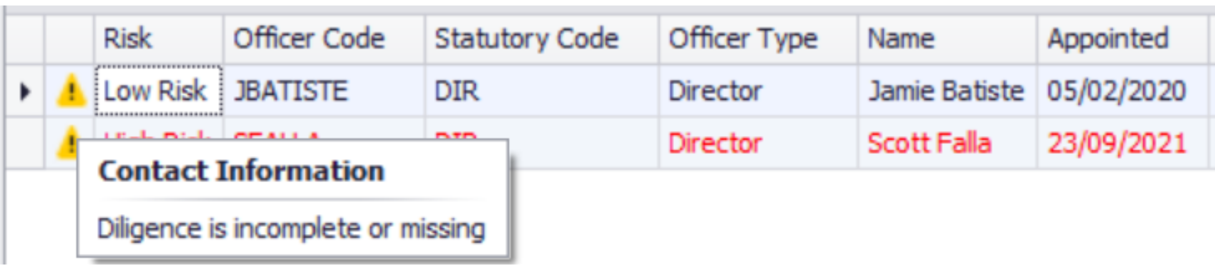
We have added an additional column to identify the types of contacts; Individuals or Corporates



Changes to Contacts

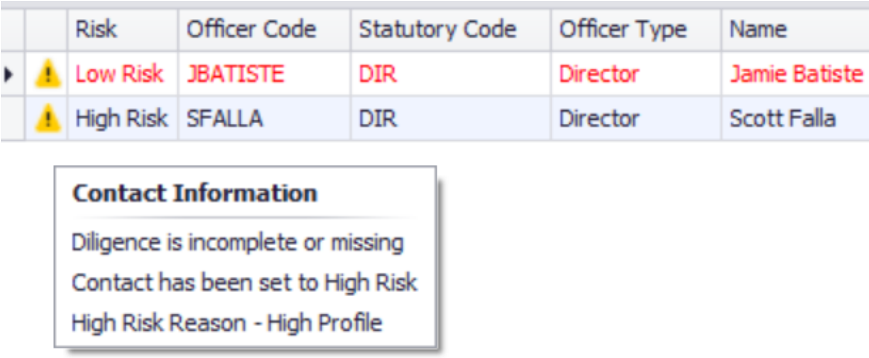
In accordance with regulatory requirements, we have made some changes to the tool tip warning information that is displayed for contacts when users are in the contact relationships screen of an entity.

Previously, if there was a warning symbol, the narrative would be shown as:



In order to meet current regulatory requirements, we have now enhanced this tool tip feature to detail the exact issues with the contacts diligence, and where they have a connection to a high risk country. The country fields that Acumen will report on, are Contact main details, Source of Wealth and Contact Other Addresses.

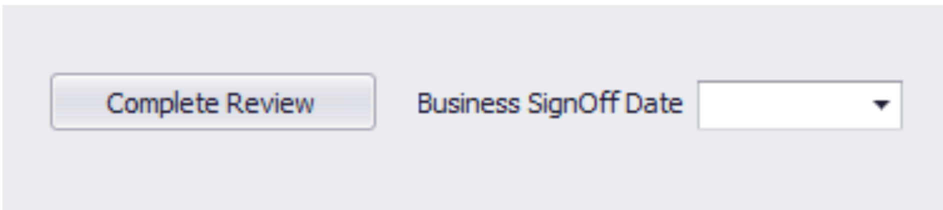
If the contact has any of the above high risk indicators, the contact will be recorded as High Risk. The new tool tips will now be displayed as follows:



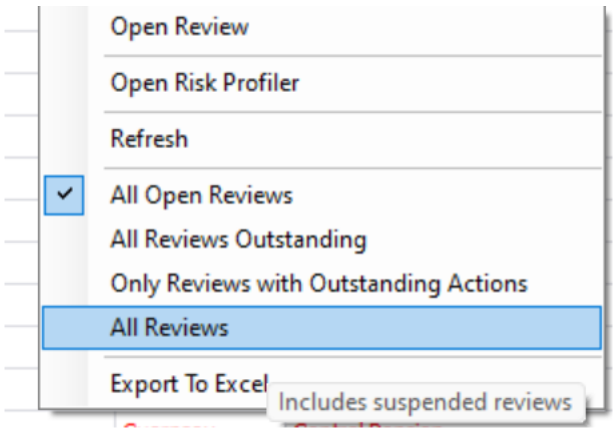
AcuReview

For sites that use AcuReview, there have been two changes.

1. We have added a date field to the Risk Review Completion screen to allow clients to record the date the review was signed off as opposed to when it was completed (rolled).



2. We have added a new right click option in the review dashboard of “All Reviews”. This is to compliment the “Suspended Reviews” option. By default, we have set the dashboard to only show live Reviews, so Suspended Reviews are hidden by default. When “All Reviews” is selected, the Dashboard will show Live reviews including suspended reviews.



All of these new features will be available to all sites on your next update cycle.