

# Back to Basics

## An Introduction to MQTT



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# Speaker



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Based out of Chicago, Mary is a Java Champion and President and Executive Board Member of the Chicago Java Users Group (CJUG). She is also the co-organizer for several meetup groups such as, the *Data, Cloud and AI In Chicago*, *Chicago Cloud*, and *IBM Cloud Chicago*.

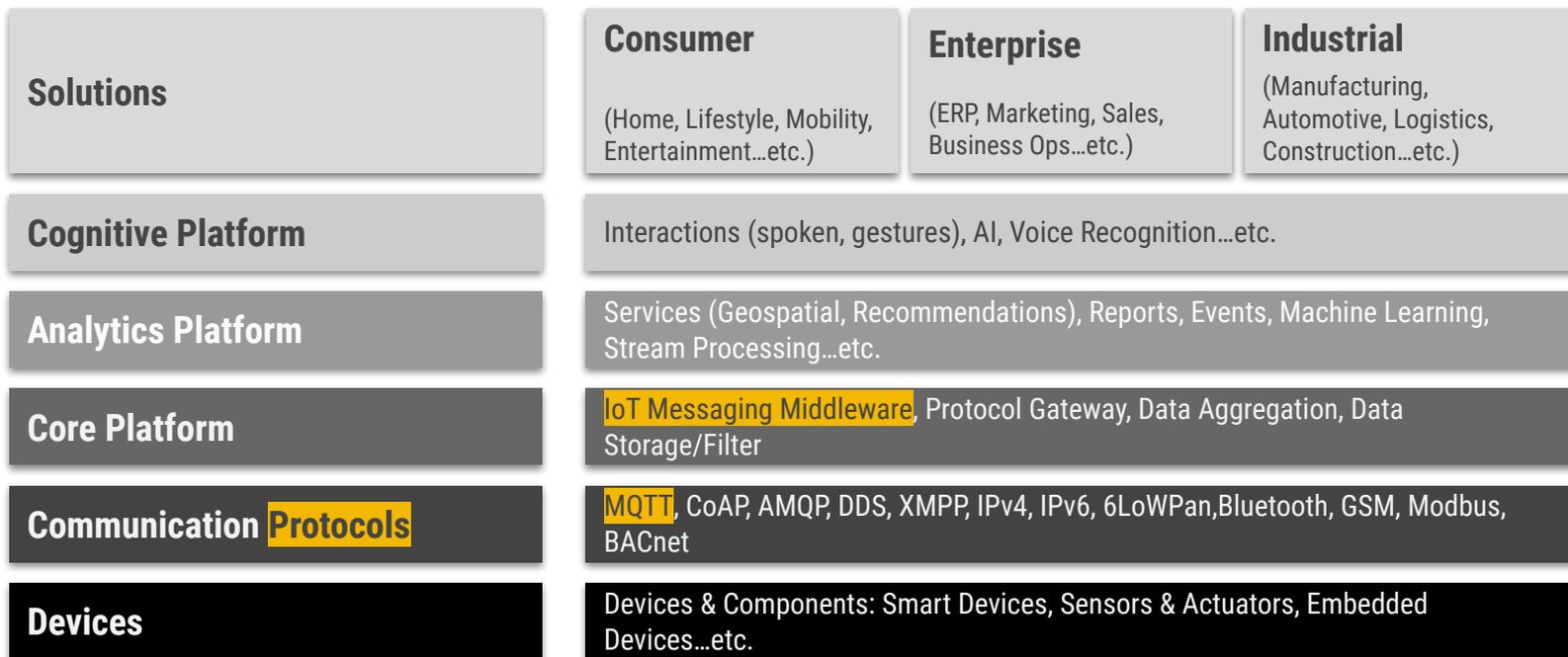
She has extensive experience in product and application design, development, integration, and deployment experience, and specializes in Reactive Java, Open Source, and cloud-enabled distributed systems.



# AGENDA

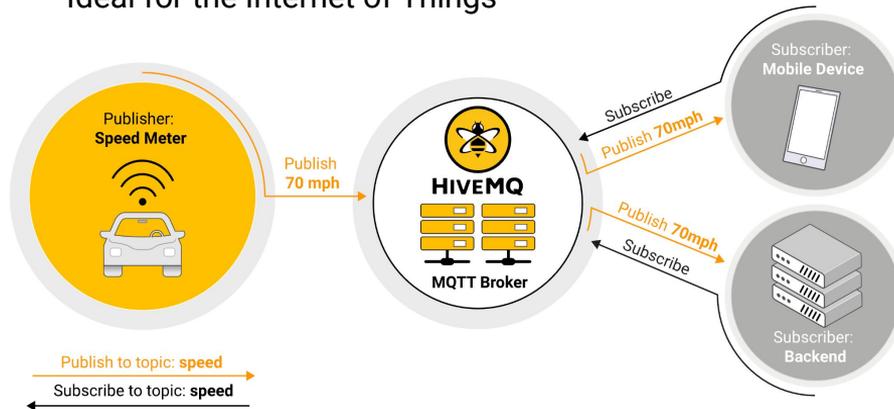
- ❑ **The IoT Stack**
- ❑ **What is MQTT?**
  - ❑ A bit of a history
  - ❑ OASIS open standard, community-driven protocol
  - ❑ MQTT 3.1.1 Features
  - ❑ MQTT 5.0 Features
- ❑ **Use Cases for MQTT**
- ❑ **Alternative Protocols for IoT**
- ❑ **Summary - Why MQTT is the top choice**
- ❑ **Demo**

# From 30,000 Feet: The IoT Stack



# What is MQTT?

- A standard binary publish-subscribe messaging protocol designed for fast and reliable data transport between devices especially under very constrained conditions
- Constraints include unreliable network connectivity, limited bandwidth, limited battery power, and so on
- Built on top of TCP/IP
- Ideal for the Internet of Things

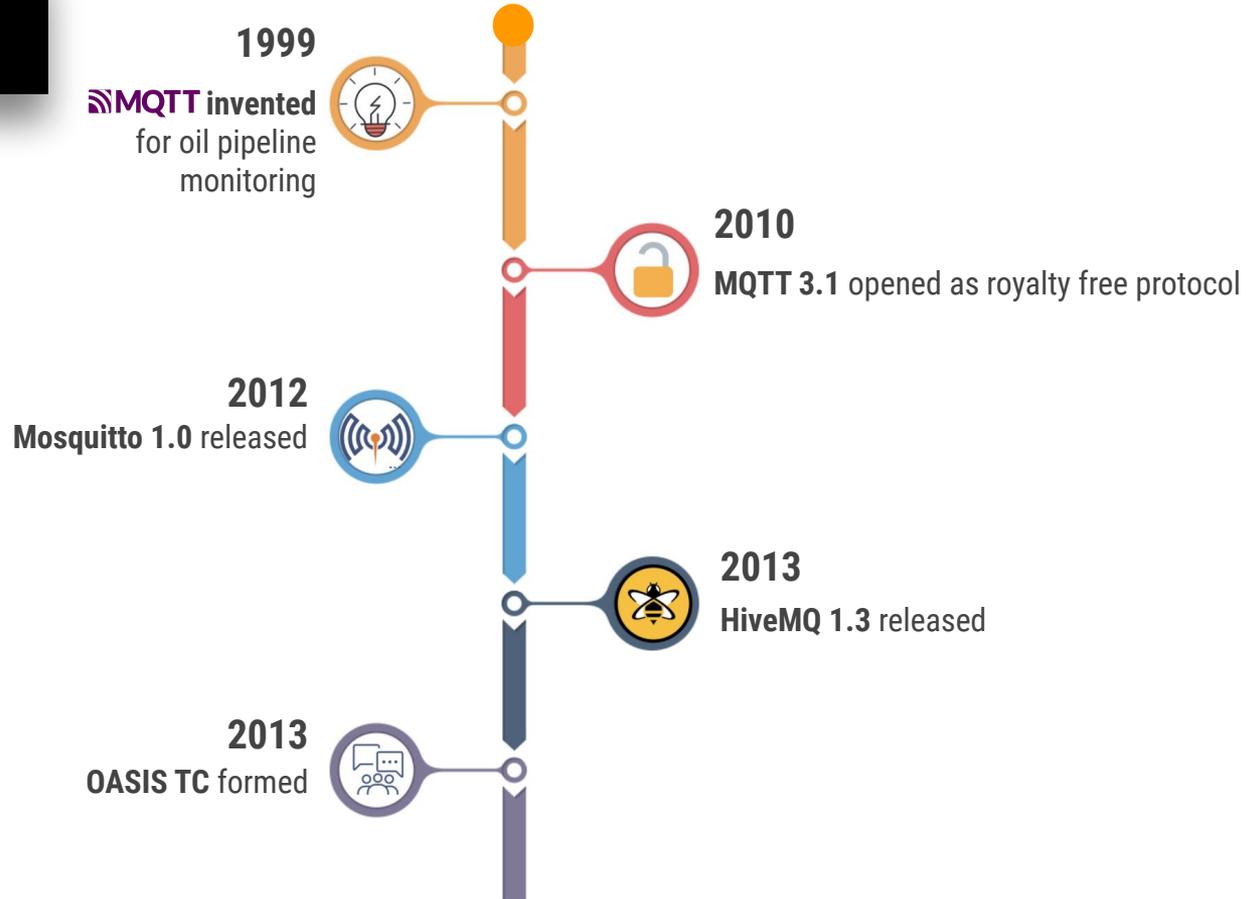


# A Brief History of MQTT

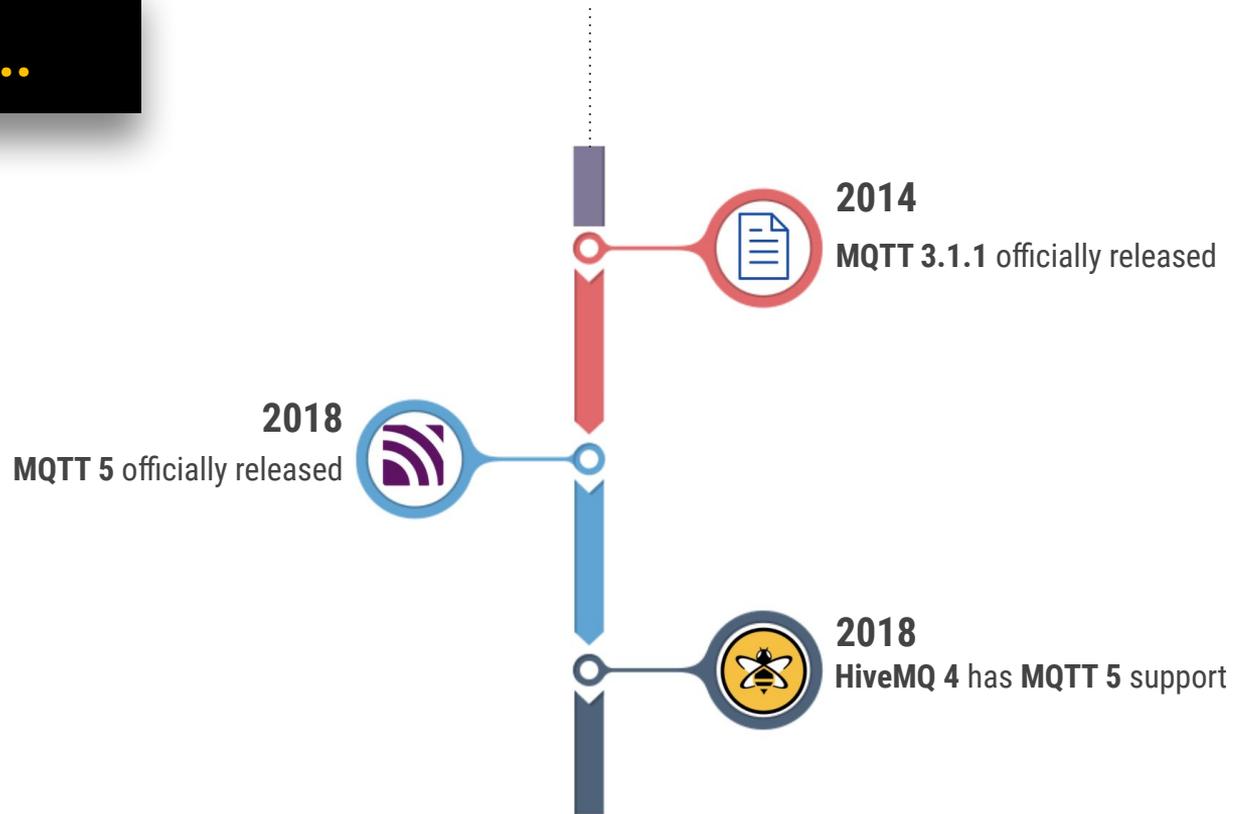
- Invented in 1999 by Andy Stanford-Clark at IBM and Arlen Nipper at what was then Arcom - and now Cirrus Link
- Prompted by the need to design a protocol that could handle a very limited operating environment that can afford only minimal battery loss and minimal bandwidth to connect with oil pipelines via satellite



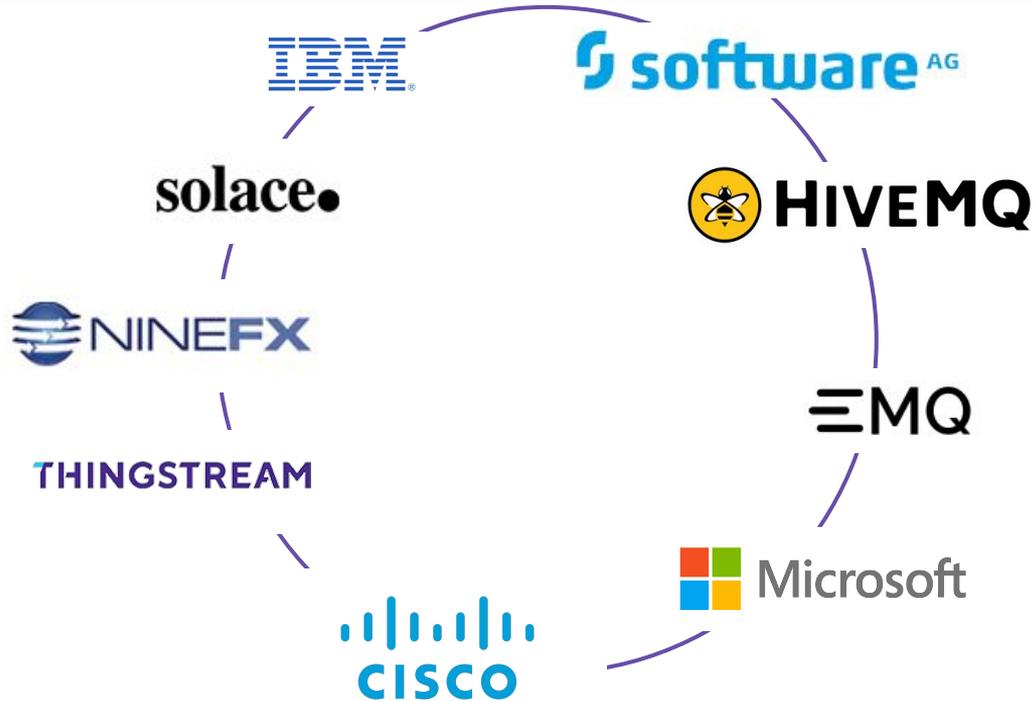
# History...



# History...



# THE MQTT Technical Committee



# MQTT Overview



- IoT Messaging Protocol
- 3 QoS Levels
- Retained Messages
- Stateful - persistent sessions
- Binary with minimal overhead

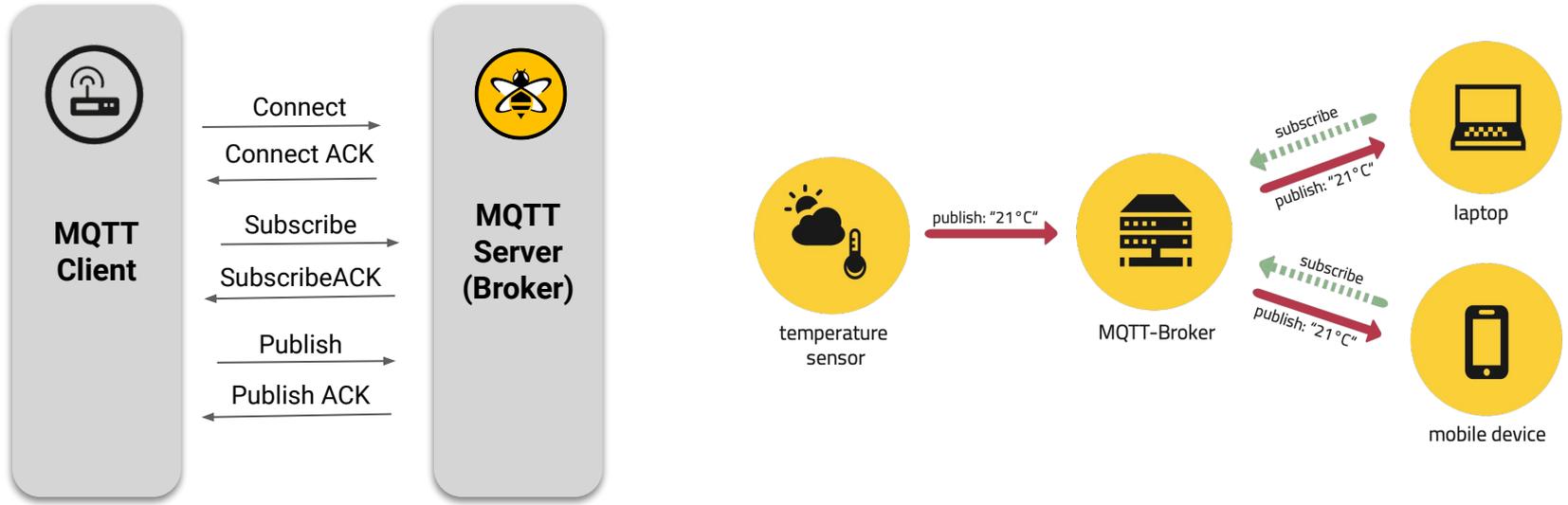


# Basic Features of MQTT 3.1.1

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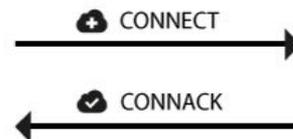
# Publish / Subscribe



# CONNECT / CONNACK



MQTT Client



MQTT Broker

MQTT-Packet:

## CONNECT



contains:	Example
<code>clientId</code>	<code>"client-1"</code>
<code>cleanSession</code>	<code>true</code>
<code>username</code> (optional)	<code>"hans"</code>
<code>password</code> (optional)	<code>"letmein"</code>
<code>lastWillTopic</code> (optional)	<code>"/hans/will"</code>
<code>lastWillQos</code> (optional)	<code>2</code>
<code>lastWillMessage</code> (optional)	<code>"unexpected exit"</code>
<code>lastWillRetain</code> (optional)	<code>false</code>
<code>keepAlive</code>	<code>60</code>

MQTT-Packet:

## CONNACK



contains:	Example
<code>sessionPresent</code>	<code>true</code>
<code>returnCode</code>	<code>0</code>



# WILL

- Client defines Will (LWT)
- Broker sends this message if this client dies
- It is a real Push
- Useful to implement on / off mechanism in a safe way
- message when Subscribing to the topic

MQTT-Packet:	
<b>CONNECT</b> 	
contains:	Example
<code>clientId</code>	<code>"client-1"</code>
<code>cleanSession</code>	<code>true</code>
<code>username</code> (optional)	<code>"hans"</code>
<code>password</code> (optional)	<code>"letmein"</code>
<code>lastWillTopic</code> (optional)	<code>"/hans/will"</code>
<code>lastWillQos</code> (optional)	<code>2</code>
<code>lastWillMessage</code> (optional)	<code>"unexpected exit"</code>
<code>keepAlive</code>	<code>60</code>



# Publish / Subscribe

MQTT-Packet:

## PUBLISH



contains:	Example
<code>packetId</code> (always 0 for qos 0)	4314
<code>topicName</code>	"topic/1"
<code>qos</code>	1
<code>retainFlag</code>	false
<code>payload</code>	"temperature:32.5"
<code>dupFlag</code>	false

MQTT-Packet:

## SUBSCRIBE



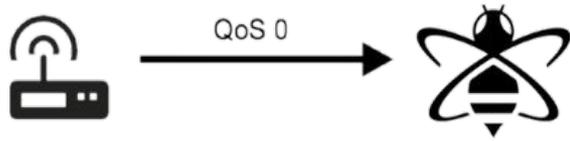
contains:	Example
<code>packetId</code>	4312
<code>qos1</code>	1
<code>topic1</code> } (list of topic + qos)	"topic/1"
<code>qos2</code> }	0
<code>topic2</code> }	"topic/2"
...	...

# Retained Message

- Last Known “Good Value”
- Last message will be stored on broker side
- Client decides if a message is retained or not
- Future Clients get the retained message when Subscribing to the topic



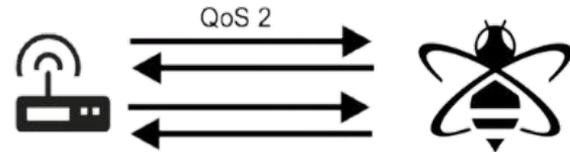
# Quality of Services



QoS 0 | At most once delivery



QoS 1 | At least once delivery



QoS 2 | Exactly once delivery

# Quality of Services 0

MQTT-Packet:

## PUBLISH

contains:

packetId (always 0 for qos 0)

topicName

qos

retainFlag

payload

dupFlag

Example

0

"topic/1"

0

false

"temperature:32.5"

false

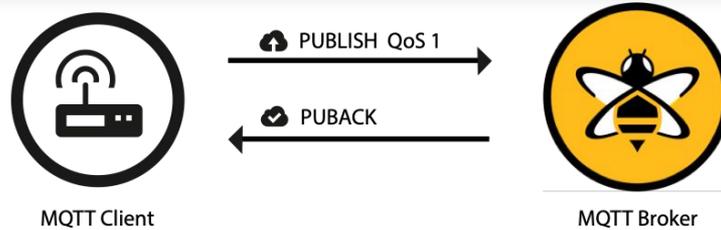


MQTT Client



MQTT Broker

# Quality of Services 1



MQTT-Packet: 

## PUBLISH

contains:

<code>packetId</code> (always 0 for qos 0)	Example 4314
<code>topicName</code>	Example "topic/1"
<code>qos</code>	Example 1
<code>retainFlag</code>	Example false
<code>payload</code>	Example "temperature:32.5"
<code>dupFlag</code>	Example false

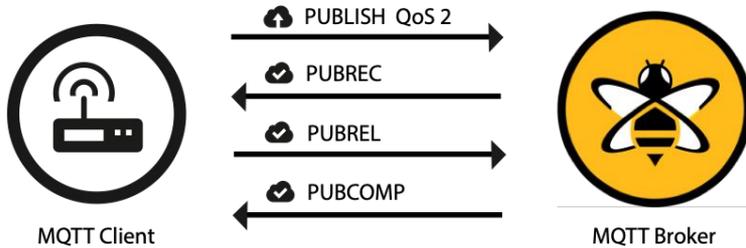
MQTT-Packet: 

## PUBACK

contains:

<code>packetId</code>	Example 4314
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# Quality of Services 2



MQTT-Packet: **PUBREC**

contains: **packetId** Example: 4314

MQTT-Packet: **PUBREL**

contains: **packetId** Example: 4314

MQTT-Packet: **PUBLISH**

contains: **packetId** (always 0 for qos 0) Example: 4314

**topicName** Example: "topic/1"

**qos** Example: 2

**retainFlag** Example: false

**payload** Example: "temperature:32.5"

**dupFlag** Example: false

MQTT-Packet: **PUBCOMP**

contains: **packetId** Example: 4314

# But, where is MQTT 4 ?



Hint: let's look at the CONNECT message packet detail for MQTT 3.1.1:

```
▼ MQ Telemetry Transport Protocol
  ▼ Connect Command
    ▼ 0001 0000 = Header Flags: 0x10 (Connect Command)
      0001 .... = Message Type: Connect Command (1)
      .... 0... = DUP Flag: Not set
      .... .00. = QOS Level: Fire and Forget (0)
      .... ...0 = Retain: Not set
    Msg Len: 44
    Protocol Name: MQTT
    Version: 4
    ▼ 0000 0010 = Connect Flags: 0x02
      0... .... = User Name Flag: Not set
      .0.. .... = Password Flag: Not set
      ..0. .... = Will Retain: Not set
      ...0 0... = QOS Level: Fire and Forget (0)
      .... .0.. = Will Flag: Not set
      .... ..1. = Clean Session Flag: Set
      .... ...0 = (Reserved): Not set
    Keep Alive: 60
    Client ID: 5539db7f5af54eafaa0f66ee91df3dce
```



# MQTT 5



# MQTT 5 - Overview



- Successor of MQTT 3.1.1
- Non-backward compatible
- First public release in January 2018, official release in March 2019
- Many new features
- Clarifications of the 3.1.1 specification

# MQTT 5 - Goals



- Enhancements for scalability and large scale systems
- Improved error reporting
- Formalize common patterns including capability discovery and request response
- Extensibility mechanisms including user properties
- Performance improvements and support for small clients



# NEW FEATURES



# Session & Message Expiry



- Session Expiry is an optional part of the CONNECT message
- Session Expiry Interval in Seconds
- Broker expires session after the given interval as soon as the client disconnects
- Publication Expiry interval is an optional part of a PUBLISH message
- Applies to online and queued messages

# User Properties



- User Defined Metadata Headers
- Can be part of most MQTT packets (CON, PUB, SUB)
- UTF-8 encoded Strings
- An unlimited number of user properties can be added

# Shared Subscriptions

Special Syntax: `$share/{ID}/my/topic`

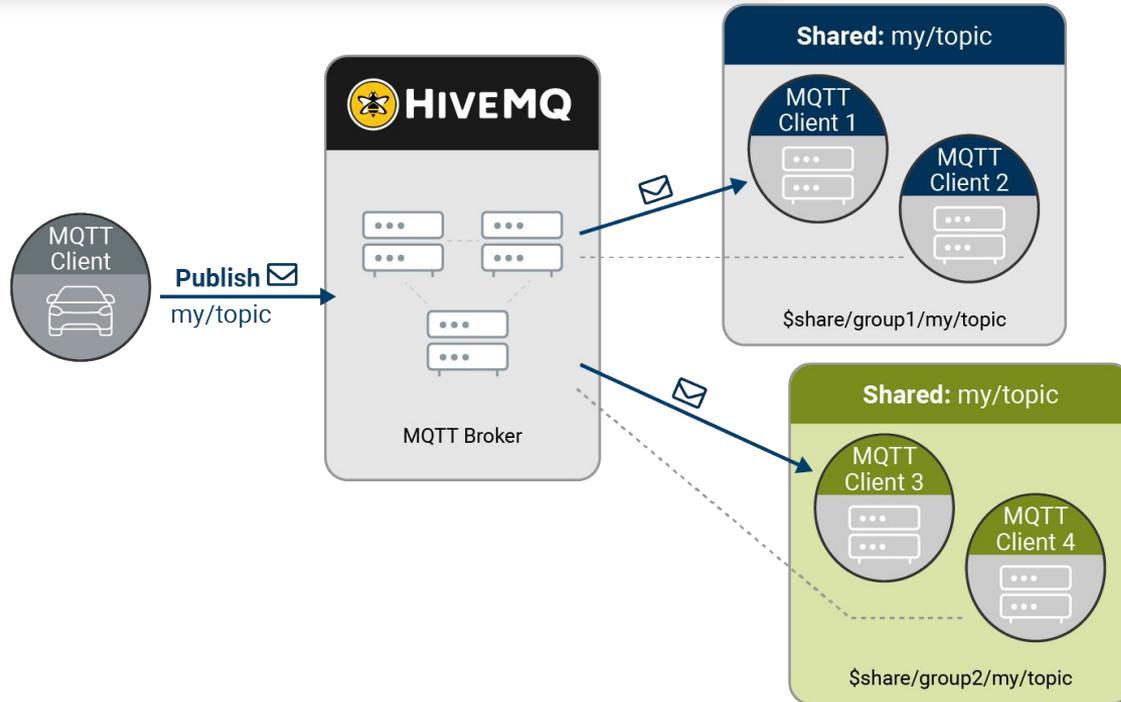


- Useful for scaling out backend subscribers
- Client Load Balancing. Multiple clients share the same subscription
- Also supported by HiveMQ for MQTT 3.1 and MQTT 3.1.1
- Up-/Downscaling of clients at runtime possible. Perfect for cloud native scenarios (Kubernetes, ...)
- Optional feature, not supported by all vendors\*

*\* HiveMQ fully supports all optional features, including this feature*



# Shared Subscriptions



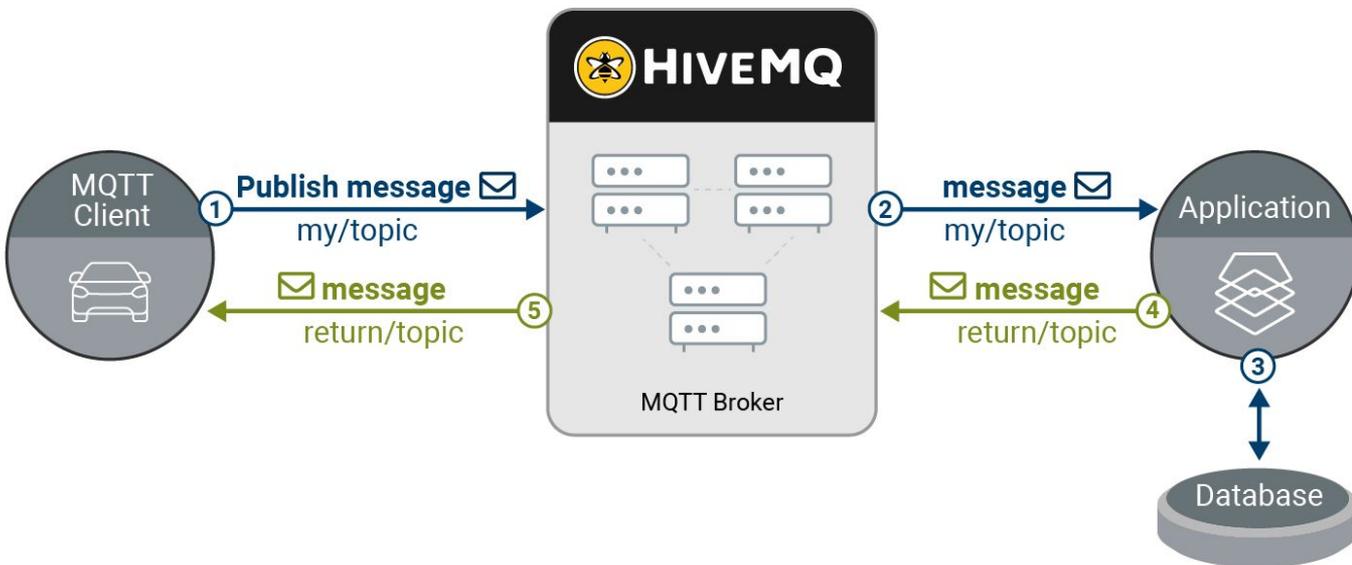
# Request / Response

## Pattern for “business ACKs”



- The MQTT request-response pattern is not the same as the request-response of synchronous, client-server based protocols like HTTP.
- Request as well as responses are at least Topics and can have more than one or no subscriber in MQTT.
- The Client must subscribe to a response topic prior to sending data.
- “Request Response Information” place for response topic
- “Correlation Data” header for correlation of the request and its response

# Request / Response



# Lightweight and Bandwidth Efficient

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- Every message works as a discrete chunk of data, opaque to the broker
- MQTT Control packet structure:
  - Fixed header, Variable header, Payload
- Protocol headers are small in size:
  - 2 byte fixed header
- up to 12 bytes of additional variable header (variable size and present only when needed)



# Data Agnostic

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- Supports all kinds of data:
  - images
  - text in any encoding format
  - encrypted data
  - binary data



# Continuous Session Awareness

- Persistent sessions
  - Broker store messages when offline
  - QoS level 1
  - Retained messages
  - Normal message with "retain" flag will be stored and sent to new subscribers to its topic
  - Last will and testaments
  - Client can specify a message to send in case it disconnects ungracefully
- Very useful in IoT especially over unreliable networks



# MQTT 5

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- Introduction of semantic metadata like user properties, payload indicators, or content type descriptors
- Request-response pattern
- Shared subscriptions
- Negative acknowledgments
- Message and session expiry per client
- More...



# Use Cases for MQTT

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- IoT
- Industrial IoT (IIoT)
- Industry 4.0
- Industry verticals:
  - Automotive
  - Logistics
  - Manufacturing
  - Energy
- Consumers:
  - Smart Home
  - Lifestyle



# Alternative Protocols to MQTT

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- HyperText Transport Protocol (HTTP)
- Constrained Application Protocol (CoAP)
- Advanced Messaging Queueing Protocol (AMQP)
- Object linking & embedding for Process Control - Unified Architecture (OPC-UA)
- Data Distribution Service (DDS)
- Extensible Messaging and Presence Protocol (XMPP)



# Integration with Other Frameworks

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- Streaming platforms: Apache Kafka
- Other MQTT Brokers: Mosquitto
- Runtimes - SpringBoot



# Summary

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- Simplicity - Pub/Sub - Asynchronous processing - Loosely coupled
- Lightweight
- Operating in a constrained environment
- Unreliable, high latency network
- Limited battery and other resources
- Ideal protocol for IoT use cases (other protocols such as HTTP would be too heavy)



# Demo

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# Resources



[Get Started with MQTT](#)



[MQTT Essentials Series](#)

 [MQTT at OASIS](#)



**HIVEMQ**

[Evaluate HiveMQ Broker](#)



**HIVEMQ**  
CLOUD

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# ANY QUESTIONS?

Reach out to [community.hivemq.com](https://community.hivemq.com)



# THANK YOU

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