Conflicts of interest

EU 2017/565

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Conflicts of interest

AuAg Funds AM AB ("AuAg" or the "Company") identifies and manages conflicts of interest in accordance with EU Regulation 2017/565 and the Swedish Securities Market Act. A conflict of interest arises when the Company, an employee or another party has interests of its own that may conflict with a client's interests, or that otherwise risk affecting the Company's ability to act objectively and in the client's best interest.

Managing conflicts of interest

The Company takes all reasonable organisational and technical measures to ensure that identified or potential conflicts of interest do not harm the interests of clients/investors. Potential conflicts of interest considered include incentives linked to risk-taking in management, the relationship between an employee of the Company and a client/investor, and secondary occupations.

Identifying, preventing and managing conflicts of interest

AuAg is an owner-led securities company with short decision-making paths and close contact between functions, which creates favourable conditions for quickly identifying and managing conflicts of interest.

The Company neither pays nor receives third-party remuneration, and no variable remuneration is paid to employees.

Employees and board members must continuously report actual and potential conflicts of interest to the Company's CEO, who decides on action and informs the board. If a conflict cannot be managed, the client is informed before portfolio management is carried out. The assessment of identified conflicts and secondary occupations is updated at least once a year.

The Company shall prevent clients and investors in the funds from being adversely affected by the Company's actions, by the CEO ensuring that the conflicts of interest policy is complied with.

AuAg Funds

For a sound world and a sound financial system

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A complete version of the policy is available on request.