

SIRON ALERT & CASE MANAGER

A single environment to manage financial crime compliance alerts

Today's sophisticated money laundering operations work across multiple business lines and geographies, taking advantage of gaps created by uncoordinated financial systems.

These complex, multichannel threats require a more integrated approach to detect and process in order to deliver a clearer picture of money laundering activity.

Siron Alert & Case Manager consolidates alerting and case management capabilities from across a wide variety of financial crime compliance solutions, giving your organization a broader perspective of customer behavior across the entire customer lifecycle.

Robotic process automation (RPA) allows you to streamline the process of investigation and alert handling and significantly increase operational efficiency.

- ⬡ **Increase operational efficiency** across your teams by consolidating alerts from various source systems
- ⬡ **Detect more financial crimes** with a 360-degree view of customer activity
- ⬡ **Accelerate decision making** with integrated data and processes
- ⬡ **Automate manual steps** with advanced workflows and powerful rules management
- ⬡ **Streamline reporting** to government authorities and regulators



PROVIDING CLARITY TO MULTI-DIMENSIONAL FINANCIAL CRIMES

Around the world, financial crime rings run a multi-billion-dollar industry by using stolen customer data to perpetrate money laundering schemes simultaneously, introducing illicit funds into the global financial system. This multi-dimensional behavior is virtually undetectable by organizations that rely on multiple systems for AML and financial crime compliance to connect the dots.

GAIN A 360-DEGREE CUSTOMER VIEW

A more comprehensive view of financial crime data is required. Siron Alert & Case Manager allows compliance officers to connect and consolidate alerts and cases from multiple source systems, breaking down data silos to

visually represent relationships between alerts, cases, involved persons, accounts, and products. At last, you can realize a 360-degree view of customers across all financial crime risks.

With centralized alert and case management, compliance officers can work more efficiently. The solution's advanced workflow and powerful rules editor eliminates manual steps, further increasing productivity, while automated reporting streamlines the filing of Suspicious Activity Reports (SARs) with government authorities and regulators.

The system allows alerts to be automatically prioritized and routed assigned to different compliance officers, who can quickly determine if an alert is a false positive or a legitimate concern, and start an investigation if necessary. Alerts can be linked or assigned to existing investigative cases. Siron Alert & Case Manager further supports the investigative process with user comments and sharing of uploaded documents. This provides easy access to all alert details, including individual transactions, profiles, and supporting information.

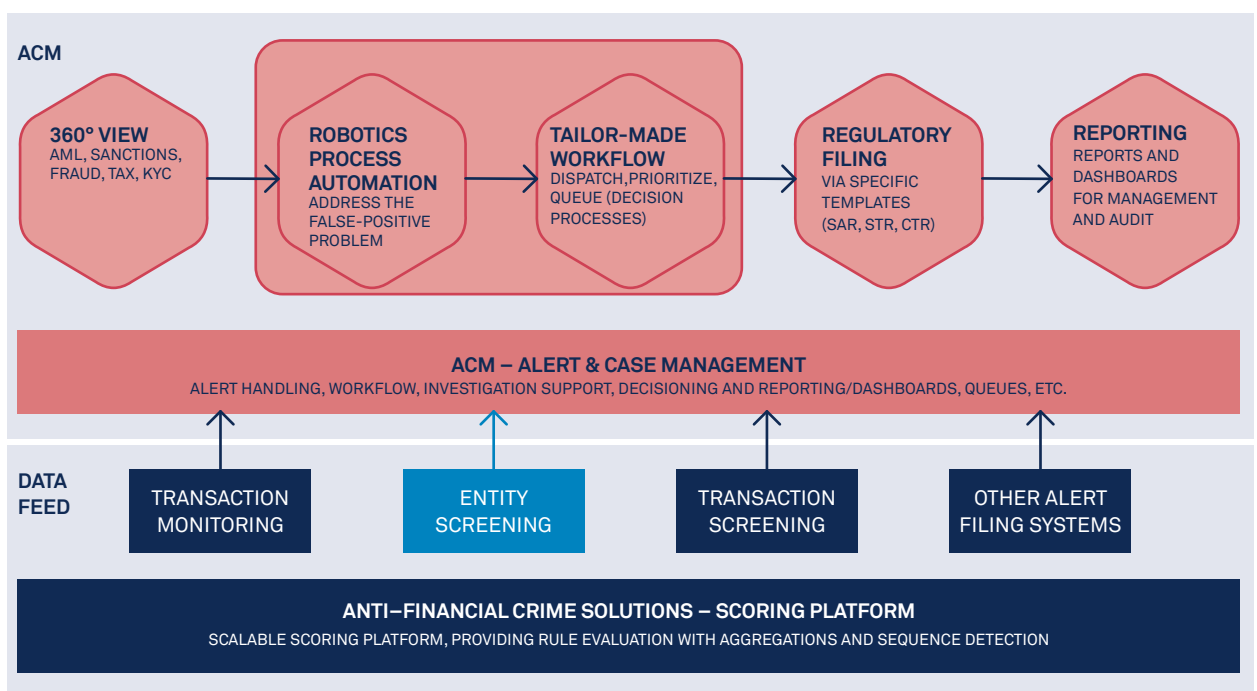
CENTRALIZED ALERTS AND CASE MANAGEMENT

Siron Alert & Case Manager handles alerts from Siron Anti-Financial Crime Solutions as well as from other third-party alert-generating applications.

CASE MANAGEMENT SIMPLIFIES DETAILED INVESTIGATIONS

Siron Alert & Case Manager helps compliance officers capture all data relevant to the investigative process –

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including accounts, transactions, and relationships – by importing it from source systems. Configurable workflows streamline case management with status indicators and required actions such as resubmissions, dual control, collaboration, case routing, assignable tasks with due dates, auto-escalation, and more.

AI-DRIVEN ALERT PRIORITIZATION AND ROBOTICS

An advanced analytics score can be embedded to rank-order alerts based on likelihood of a SAR filing, providing a clear metric for queue prioritization and assignment of investigative resources.

The combination of AI-driven alert prioritization and robotics in alert handling can lead to large efficiency gains and help address the false-positives prob-

lem in compliance. Tasks that are high-volume, repetitive, and timeconsuming can be completed by robots so that your workforce can focus on more urgent or more value-adding work. Robotic process automation (RPA) ensures process conformity and decision consistency, reduces errors and increases accuracy, and orchestrates processes to operate autonomously 24/7.

REGULATORY REPORTING

In addition to a broad range of predefined reports, the Siron Alert & Case Manager offers regulatory reporting in a variety of formats. All reports can be scheduled for automatic creation. SARs can be submitted to regulators and authorities electronically or paper-based. The regulatory reporting supports the international standards goAML.

AUDIT-PROOF DOCUMENTATION

Siron Alert & Case Manager provides access to historical data and supports the full audit trail of user actions. Siron Alert & Case Manager supports multinational compliance requirements with support for Internationalization (I18N), multitenancy, and stringent data-level security.

Siron Alert & Case Manager is one of the modules of IMTF's integrated platform of anti-financial crime solutions.

Other Related Material:

[Siron KYC](#)

[Siron AML](#)

[Siron Embargo](#)

“ With Siron's RPA approach, and even without any further optimization, Siron Alert & Case Manager was proven to significantly increase the productivity of an alert and case manager's day-to-day work. ”

RPA HELPED

- 95 % of the alerts have been auto worked within the SLA (Service Level Agreement)
- Weekly screening hit rate has been lowered by 67 % on average across the regions

International Tier 1 Financial Institution, IMTF Customer

Boost productivity and eliminate duplicate efforts with centralized alert and case management across all domains of compliance.

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ABOUT US

OUR MISSION: WE HELP BANKS FIGHT FINANCIAL CRIME AND MAKE THE WORLD A SAFER PLACE.

IMTF Group is a global leader in software and IT services for banks and financial institutions, offering a comprehensive portfolio of compliance and risk management solutions. Headquartered in Switzerland with subsidiaries across Europe, Middle East and Asia Pacific, IMTF serves clients from all over the world. The company's ground-breaking solutions include client lifecycle management, anti-money laundering, and other regulatory compliance use cases, to help financial institutions stay compliant and digitize their processes and operations to improve customer experience and bottom-line.

The passion for our work and the close collaboration with our clients have enabled us to be a trendsetter for over 35 years, turning ideas into useful products, offering Swiss excellence with a global perspective. Our team of 300 anti-financial crime experts serves more than 1,500 customers across the EMEA region, Asia-Pacific and North America.

IMTF. GLOBALLY EXPERIENCED. LOCALLY CONNECTED. SWISS MADE.



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